

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.019A	APPLICATION NO. 10/771,845
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Frazier et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE February 4, 2004	GROUP 3761

AUG 09 2004



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
NRP	1	2,570,465	02/09/51	Lundholm			
	2	3,123,077	08/13/56	Alcamo			
	3	3,124,136	03/10/64	Usher			
	4	3,166,072	01/19/65	Sullivan, Jr.			
	5	3,646,615	03/07/72	Nees			
	6	3,716,058	07/17/70	Tanner, Jr.			
	7	3,874,388	04/01/75	King et al.			
	8	3,892,232	07/01/75	Neufeld			
	9	4,006,747	02/08/77	Kronenthal et al.			
	10	4,007,743	02/15/77	Blake			
	11	4,060,089	11/29/77	Noiles			
	12	4,532,926	08/06/85	O'Holla			
	13	4,669,473	06/02/87	Richards et al.			
	14	4,688,561	08/25/87	Reese			
	15	4,781,177	11/01/88	Lebigot			
	16	4,836,204	06/06/89	Landymore et al.			
	17	4,873,976	10/17/89	Schreiber			
	18	4,884,572	12/05/89	Bays et al.			
	19	4,895,148	01/23/90	Bays et al.			
	20	4,917,089	04/17/90	Sideris			
	21	4,924,865	05/15/90	Bays et al.			
	22	4,935,028	06/19/90	Drews			
	23	4,976,715	12/11/90	Bays et al.			
	24	5,013,316	05/07/91	Goble et al.			
	25	5,021,059	06/04/91	Kensey et al.			
	26	5,053,047	10/01/91	Yoon			
	27	5,059,206	10/22/91	Winters			

EXAMINER <i>Natalie Pous</i>	DATE CONSIDERED <i>3/1/07</i>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>RP</i>	28	5,085,661	02/04/92	Moss			
	29	5,102,421	04/07/92	Anspach, Jr.			
	30	5,108,420	04/28/92	Marks			
	31	5,129,906	07/14/92	Ross et al.			
	32	5,171,259	12/15/92	Inoue			
	33	5,192,301	03/09/93	Kamiya et al.			
	34	5,203,864	04/20/93	Phillips			
	35	5,236,431	08/17/93	Gogolewski et al.			
	36	5,246,441	09/21/93	Ross et al.			
	37	5,254,133	10/19/93	Seid			
	38	5,258,000	11/02/93	Gianturco			
	39	5,261,914	11/16/93	Warren			
	40	5,284,488	02/08/94	Sideris			
	41	5,334,217	08/02/94	Das			
	42	5,342,393	08/30/94	Stack			
	43	5,350,399	09/27/94	Erlebacher et al.			
	44	5,356,432	10/18/94	Rutkow et al.			
	45	5,380,334	01/10/95	Torrie et al.			
	46	5,397,331	03/14/95	Himpens et al.			
	47	5,400,805	03/28/95	Warren			
	48	5,425,744	06/20/95	Fagan et al.			
	49	5,433,727	07/18/95	Sideris			
	50	5,451,235	09/19/95	Lock et al.			
	51	5,470,337	11/28/95	Moss			
<i>✓</i>	52	5,486,193	01/23/96	Bourne et al.			
<i>✓</i>	53	5,505,735	04/09/96	Li			

EXAMINER <i>Fatalu, Far</i>	DATE CONSIDERED <i>3/1/01</i>
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NRP	54	5,507,811	04/16/96	Koike et al.			
	55	5,562,704	10/08/96	Tamminmaki et al.			
	56	5,578,045	11/26/96	Das			
	57	5,601,571	02/11/97	Moss			
	58	5,620,461	04/15/97	Muijs et al.			
	59	5,634,936	06/03/97	Linden et al.			
	60	5,643,317	07/01/97	Pavcnik et al.			
	61	5,662,681	09/02/97	Nash et al.			
	62	5,702,421	12/30/97	Schneidt			
	63	5,709,707	01/20/98	Lock et al.			
	64	5,725,552	03/10/98	Kotula et al.			
	65	5,728,116	03/17/98	Rosenman			
	66	5,733,294	03/31/98	Forber et al.			
	67	5,741,297	04/21/98	Simon			
	68	5,792,179	08/11/98	Sideris			
	69	5,797,960	08/25/98	Stevens et al.			
	70	5,810,846	09/22/98	Virnich et al.			
	71	5,823,956	10/20/98	Roth et al.			
	72	5,827,298	10/27/98	Hart et al.			
	73	5,829,447	11/03/98	Stevens et al.			
	74	5,843,084	12/01/98	Hart et al.			
	75	5,846,261	12/08/98	Kotula et al.			
	76	5,853,422	12/29/98	Huebsch et al.			
	77	5,855,614	01/05/99	Stevens et al.			
✓	78	5,860,948	01/19/99	Buscemi			
✓	79	5,861,003	01/19/99	Latson et al.			

EXAMINER <i>Natalia Par</i>	DATE CONSIDERED <i>3/1/07</i>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
NPP	80	5,879,366	03/09/99	Shaw et al.			
	81	5,893,850	04/13/99	Cachia			
	82	5,904,703	05/18/99	Gilson			
	83	5,919,200	07/06/99	Stambaugh et al.			
	84	5,944,738	08/31/99	Amplatz et al.			
	85	5,947,997	09/07/99	Pavcnik et al.			
	86	5,976,174	11/02/99	Ruiz			
	87	5,980,524	11/09/99	Justin et al.			
	88	6,024,756	02/15/00	Huebsch et al.			
	89	6,059,823	05/09/00	Holman et al.			
	90	6,077,281	06/20/00	Das			
	91	6,077,291	06/20/00	Das			
	92	6,079,414	06/27/00	Roth			
	93	6,080,182	06/27/00	Shaw et al.			
	94	6,087,552	07/11/00	Gregory			
	95	6,096,052	08/01/00	Callister et al.			
	96	6,106,532	08/22/00	Koike et al.			
	97	6,110,212	08/29/00	Gregory			
	98	6,123,715	09/26/00	Amplatz			
	99	6,152,144	11/28/00	Lesh et al.			
	100	6,156,055	12/05/00	Ravenscroft			
	101	6,171,329	01/09/01	Shaw et al.			
	102	6,174,322	01/16/01	Schneidt			
	103	6,190,400	02/20/01	Van de Moer et al.			
	104	6,200,336	03/13/01	Pavcnik et al.			
✓	105	6,206,895	03/27/01	Levinson			

EXAMINER	<i>Natalie Rose</i>	DATE CONSIDERED	<i>3/1/07</i>
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MR	106	6,206,907	03/27/01	Marino et al.			
	107	6,210,338	04/03/01	Afremov et al.			
	108	6,214,029	04/10/01	Thill et al.			
	109	6,221,092	04/24/01	Koike et al.			
	110	6,231,561	05/15/01	Frazier et al.			
	111	6,231,589	05/15/01	Wessman et al.			
	112	6,238,416	05/29/01	Sideris			
	113	6,241,747	06/05/01	Ruff			
	114	6,245,079	06/12/01	Nobles et al.			
	115	6,270,515	08/07/01	Linden et al.			
	116	6,277,140	08/21/01	Ginn et al.			
	117	6,280,460	08/28/01	Bolduc et al.			
	118	6,290,674	09/18/01	Roue et al.			
	119	6,290,702	09/18/01	Fucci et al.			
	120	6,296,641	10/02/01	Burkhead et al.			
	121	6,299,597	10/09/01	Buscemi et al.			
	122	6,306,177	10/23/01	Felt et al.			
	123	6,312,446	11/06/01	Huebsch et al.			
	124	6,319,263	11/20/01	Levinson			
	125	6,319,270	11/20/01	Grafton et al.			
	126	6,319,276	11/20/01	Holman et al.			
	127	6,322,563	11/27/01	Cummings et al.			
	128	6,328,727	12/11/01	Frazier et al.			
	129	6,334,864	01/01/02	Amplatz et al.			
	130	6,342,064	01/29/02	Koike et al.			
	131	6,346,074	02/12/02	Roth			

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NRP	132	6,348,053	02/19/02	Cachia		
	133	6,355,052	03/12/02	Neuss et al.		
	134	6,368,339 B1	04/09/02	Amplatz		
	135	6,379,368	04/30/02	Corcoran et al.		
	136	6,391,048	05/21/02	Ginn et al.		
	137	6,419,669	07/16/02	Frazier et al.		
	138	6,440,152	08/27/02	Gainor et al.		
	139	6,458,100	10/01/02	Roue et al.		
	140	6,461,364	10/08/02	Ginn et al.		
	141	6,468,303	10/22/02	Amplatz et al.		
	142	6,517,564	02/11/03	Grafton et al.		
	143	6,533,762	03/18/03	Kanner		
	144	6,537,300	03/25/03	Girton		
	145	6,551,343	04/22/03	Tormala et al.		
	146	6,551,344	04/22/03	Thill		
	147	6,569,188	05/27/03	Grafton et al.		
	148	6,596,014	07/22/03	Levinson et al.		
	149	6,626,917	09/30/03	Craig		
	150	6,632,238	10/14/03	Ginn et al.		
	151	6,635,066	10/21/03	Tanner et al.		
	152	6,645,225	11/11/03	Atkinson		
	153	6,656,206	12/02/03	Corcoran et al.		
	154	6,695,867	02/24/04	Ginn et al.		
	155	6,702,835	03/09/04	Ginn		
	156	6,719,777	04/13/04	Ginn et al.		
✓	157	2001/0014800	08/16/01	Frazier et al.		

EXAMINER <i>Natalya Pau</i>	DATE CONSIDERED <i>3/11/07</i>
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URP	158	2001/0021872	09/13/01	Bailey et al.			
	159	2001/0023332	09/20/01	Hahnen			
	160	2001/0031973	10/18/01	Nobles et al.			
	161	2001/0034537	10/25/01	Shaw et al.			
	162	2001/0037129	11/01/01	Thill			
	163	2001/0039434	11/08/01	Frazier et al.			
	164	2001/0039435	11/08/01	Roue et al.			
	165	2001/0039436	11/08/01	Frazier et al.			
	166	2001/0039450	11/08/01	Pavcnik et al.			
	167	2001/0041914	11/15/01	Frazier et al.			
	168	2001/0041915	11/15/01	Roue et al.			
	169	2001/0049492	12/06/01	Frazier et al.			
	170	2002/0055767	05/09/02	Forde et al.			
	171	2002/0068950	06/06/02	Corcoran et al.			
	172	2002/0165574 A1	11/07/02	Ressemann et al.			
	173	2002/0165598 A1	11/07/02	Wahr et al.			
	174	2002/0169475	11/14/02	Gainor et al.			
	175	2002/0183786	12/05/02	Girton			
	176	2002/0183787	12/05/02	Wahr et al.			
	177	2002/0198563	12/26/02	Gainor et al.			
	178	2003/0023262	01/30/03	Welch			
	179	2003/0028213	02/06/03	Thill et al.			
	180	2003/0045893	03/06/03	Ginn			
	181	2003/0050600 A1	03/13/03	Ressemann et al.			
	182	2003/0050665	03/13/03	Ginn			
	183	2003/0055455	02/20/03	Yang et al.			

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NLP	184	2003/0144694 A1	07/31/03	Chanduszko et al.			
	185	2003/0191495 A1	10/09/03	Ryan et al.			
	186	2003/0208232 A1	11/06/03	Blaeser et al.			
	187	2003/0225421 A1	12/04/03	Peavey et al.			
	188	2004/0039414 A1	02/26/04	Carley et al.			
✓	189	2004/0073236 A1	04/15/04	Carley et al.			
	190	2004/0073242 A1	04/15/04	Chanduszko			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES	NO	
NLP	191	EP 0 317 406 A1	04/24/89	Europe			
	192	EP 0 362 113	04/04/90	Europe			
	193	EP 0 474 887	03/18/92	Europe			
	194	EP 0 541 063	05/12/93	Europe			
	195	EP 0 545 091	06/09/93	Europe			
	196	EP 0 632 999 A1	01/11/95	Europe			
	197	EP 0 655 222	05/31/95	Europe			
	198	EP 0 793 457	09/10/97	Europe			
	199	EP 0 876 793	11/11/98	Europe			
	200	EP 1 046 375	10/25/00	Europe			
	201	EP 1 214 911	06/19/02	Europe			
	202	EP 1 281 355 A2	02/05/03	Europe			
	203	FR 1 317 354	01/02/63	France			
	204	GB 2 269 321	02/09/94	United Kingdom			
✓	205	JP 7171173	06/04/96	Japan			
	206	JP 8141070	08/06/96	Japan			

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							YES	NO
MRP	207	JP 8196623	08/06/96	Japan				
	208	WO 85/03857	09/12/85	PCT				
	209	WO 89/01767	03/09/89	PCT				
	210	WO 90/14796	12/13/90	PCT				
	211	WO 93/13712	07/22/93	PCT				
	212	WO 93/14705	08/05/93	PCT				
	213	WO 95/28885	11/02/95	PCT				
	214	WO 96/32882	10/24/96	PCT				
	215	WO 97/18762	05/29/97	PCT				
	216	WO 97/41779	11/13/97	PCT				
	217	WO 97/42878	11/20/97	PCT				
	218	WO 98/08462	03/05/98	PCT				
	219	WO 98/27868	07/02/98	PCT				
	220	WO 99/07289	02/18/99	PCT				
	221	WO 99/18862	04/22/99	PCT				
	222	WO 99/18864	04/22/99	PCT				
	223	WO 99/18871	04/22/99	PCT				
	224	WO 99/38454	08/05/99	PCT[
	225	WO 99/40849	08/19/99	PCT				
	226	WO 00/56376	09/28/00	PCT				
	227	WO 00/69365	11/23/00	PCT				
	228	WO 01/17435	03/15/01	PCT				
	229	WO 01/21246	03/29/01	PCT				
	230	WO 01/30267	05/03/01	PCT				
	231	WO 02/098298	12/12/02	PCT				
↓	232	WO 02/32496	04/25/02	PCT				

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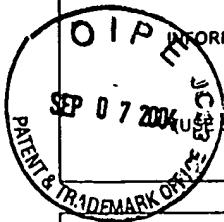
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							YES	NO
AJR	233	WO 02/38051	05/16/02	PCT				
	234	WO 03/103476 A2	12/18/03	PCT				

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMMDINC.019A	APPLICATION NO. 10/771,845
<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>SEP 07 2004 (USE SEVERAL SHEETS IF NECESSARY)</p>		
<p>APPLICANT Frazier et al.</p>		
<p>FILING DATE February 4, 2004</p>		
<p>GROUP 3761</p>		



U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
<i>MP</i>	1. Search Report for PCT/US2004/003115, filed on February 4, 2004 in 8 pages.

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EXAMINER	<i>Natalie Par</i>	DATE CONSIDERED	<i>3/1/07</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application No.	10/771,845
		Filing Date	February 4, 2004
		First Named Inventor	Frazier, et al.
		Art Unit	3761
(Multiple sheets used when necessary)		Examiner	Unknown
SHEET 1 OF 1		Attorney Docket No.	MVMDINC.019A

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
MRP	1	6,776,784	08/17/04	Ginn	
	2	2004/0092973	05/13/04	Chanduszko	
	3	2004/0098121	05/20/04	Opolski	
	4	2004/0127917	07/01/04	Ginn	
	5	2004/0133236	07/08/04	Chanduszko	
	6	2004/0176788	09/09/04	Opolski	
	7	2004/0176799	09/09/04	Chanduszko	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
MRP	8	WO 04/026147	04/01/04	PCT		
	9	WO 04/086951	10/14/04	PCT		
	10	WO 04/087235	10/14/04	PCT		
	11	WO 04/091411	10/28/04	PCT		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ¹
MRP	12	Ruiz, C. E. et al. "The Puncture Technique: A New Method for Transcatheter Closure of Patent Foramen Ovale," Cath. And Cardiovasc. Interv. 53:369-372 (2001).				
	13	International Search Report and Written Opinion of the International Searching Authority for PCT/US2004/003115, filed on February 4, 2004, in 20 pages.				

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011904

Examiner Signature	<i>Natalya Pace</i>	Date Considered	3/11/07
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

¹ - Place a check-mark in this area when an English language Translation is attached.



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Multiple sheets used when necessary)</i>		Application No.	10/771,845
		Filing Date	February 4, 2004
		First Named Inventor	Frazier
		Art Unit	3761
		Examiner	Unknown
SHEET 1 OF 1		Attorney Docket No.	MVMDINC.019A

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
NRP	1	2004/0243122	12/02/04	Auth et al.	
	2	2004/0249398	12/09/04	Ginn	
	3	2004/0254594	12/16/04	Alfaro	
	4	2004/0267366	12/30/04	Kruger	
	5	2004/0267191	12/30/04	Gifford, III et al.	
↓	6	2005/0006900	1/13/05	Lewis	
	7	2005/0021016	1/27/05	Malecki et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ¹

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Examiner Signature	<i>Natalya Frazier</i>	Date Considered	3/1/07
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